

Indiana Secretary of State Securities Division Complaint Form

Online version available at: http://www.in.gov/sos/securities/sec_complaint.html

The Indiana Securities Division can investigate possible violations of these statutes:

- ✧ Indiana Securities Act (IC 23-2-1)
- ✧ Indiana Franchise Act (IC 23-2-2.5)
- ✧ Indiana Takeover Offers Act (IC 23-2-3.1)
- ✧ Indiana Loan Broker Act (IC 23-2-5)
- ✧ Indiana Collection Agency Act (IC 25-11)

I. Please select the subject matter that you feel best describes your complaint:

- ☐ Securities/investments ☐ Loan Broker ☐ Franchise
- ☐ Collection Agency ☐ Takeover Offers

II. Please supply the following information about yourself:

Name:

Date of Birth: ____/____/____

Address: _____

Street City, State Zip Code

Email Address

Home Phone #: (____) ____-____ Cell Phone #: (____) ____-____

III. Please supply the following information about the person(s) and entities who you believe may have violated Indiana law:

Company name:

Address: _____

Phone number: _____

Website: _____

Individual(s) involved:

1) _____

Address:

Phone number: _____

2) _____

Address:

Phone number: _____

Note: Please include any additional names in Section VI "Other Information".

[illegible]

V. Do you have any records of the transaction? ☐ Yes | ☐ No

Please describe the documents you have:

VI. Please provide any other information here:

Please mail the completed form, along with **copies** (no originals) of any supporting documentation, to the Indiana Securities Division:

Indiana Securities Division
302 W. Washington Street
Room E-111
Indianapolis, IN 46204